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PTO/SB/21 (08-00)

Approved for use through 10/31/2002

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/830,040
	Filing Date	August 13, 2001
	First Named Inventor	Christopher Robert Eccles
	Group Art Unit	3641
	Examiner Name	Ricardo J. Palabrica
Total Number of Pages in This Submission	Attorney Docket Number	13121US01

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO 1449/08A with 3 references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) (sheets) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): POSTCARD
Remarks	<div>RECEIVED JUL 07 2004 GROUP 3600</div>	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	McAndrews Held & Malloy, Ltd
Signature	<i>Scott P. McBride</i>
Date	June 24, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 24, 2004

Name (Print/type)	Scott P. McBride	Registration No. (Attorney/Agent)	42,853
Signature	<i>Scott P. McBride</i>	Date	June 24, 2004



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
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FEE TRANSMITTAL for FY 2003 Patent Fees are subject to annual revision.		Complete if Known		
		Application Number	09/830,040	
		Filing Date	August 13, 2001	
		First Named Inventor	Christopher Robert Eccles	
		Examiner Name	Ricardo J. Palabrica	
		Group Art Unit	3641	
TOTAL AMOUNT OF PAYMENT (\$)		180.00	Attorney Docket No.	13121US01

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																									
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 13-0017 Deposit Account Name: McAndrews, Held & Malloy</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1051 130 2051 65</td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>1052 50 2052 25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>1053 130 1053 130</td><td></td><td>Non-English specification</td><td></td></tr><tr><td>1812 2,520 1812 2,520</td><td></td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>1804 920* 1804 920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>1805 1,840* 1805 1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>1251 110 2251 55</td><td></td><td>Extension for reply within first month</td><td></td></tr><tr><td>1252 410 2252 205</td><td></td><td>Extension for reply within second month</td><td></td></tr><tr><td>1253 930 2253 465</td><td></td><td>Extension for reply within third month</td><td></td></tr><tr><td>1254 1,450 2254 725</td><td></td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>1255 1,970 2255 985</td><td></td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>1401 320 2401 160</td><td></td><td>Notice of Appeal</td><td></td></tr><tr><td>1402 320 2402 160</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>1403 280 2403 140</td><td></td><td>Request for oral hearing</td><td></td></tr><tr><td>1451 1,510 1451 1510</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>1452 110 2452 55</td><td></td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>1453 1,300 2453 650</td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>1501 1,300 2501 650</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>1502 470 2502 235</td><td></td><td>Design issue fee</td><td></td></tr><tr><td>1503 610 2503 315</td><td></td><td>Plant issue fee</td><td></td></tr><tr><td>1460 130 1460 130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>1807 50 1807 50</td><td></td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>1806 180 1806 180</td><td></td><td>Submission of Information Disclosure Stmt</td><td>180.00</td></tr><tr><td>8021 40 8021 40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>1809 750 2809 375</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>1810 750 2810 375</td><td></td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr><tr><td>1801 750 2801 375</td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>1802 900 1802 900</td><td></td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="2">Other fee (specify) _____</td><td></td><td></td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	1051 130 2051 65		Surcharge - late filing fee or oath		1052 50 2052 25		Surcharge - late provisional filing fee or cover sheet		1053 130 1053 130		Non-English specification		1812 2,520 1812 2,520		For filing a request for <i>ex parte</i> reexamination		1804 920* 1804 920*		Requesting publication of SIR prior to Examiner action		1805 1,840* 1805 1,840*		Requesting publication of SIR after Examiner action		1251 110 2251 55		Extension for reply within first month		1252 410 2252 205		Extension for reply within second month		1253 930 2253 465		Extension for reply within third month		1254 1,450 2254 725		Extension for reply within fourth month		1255 1,970 2255 985		Extension for reply within fifth month		1401 320 2401 160		Notice of Appeal		1402 320 2402 160		Filing a brief in support of an appeal		1403 280 2403 140		Request for oral hearing		1451 1,510 1451 1510		Petition to institute a public use proceeding		1452 110 2452 55		Petition to revive - unavoidable		1453 1,300 2453 650		Petition to revive - unintentional		1501 1,300 2501 650		Utility issue fee (or reissue)		1502 470 2502 235		Design issue fee		1503 610 2503 315		Plant issue fee		1460 130 1460 130		Petitions to the Commissioner		1807 50 1807 50		Processing fee under 37 CFR 1.17(q)		1806 180 1806 180		Submission of Information Disclosure Stmt	180.00	8021 40 8021 40		Recording each patent assignment per property (times number of properties)		1809 750 2809 375		Filing a submission after final rejection (37 CFR § 1.129(a))		1810 750 2810 375		For each additional invention to be examined (37 CFR 1.129(b))		1801 750 2801 375		Request for Continued Examination (RCE)		1802 900 1802 900		Request for expedited examination of a design application		Other fee (specify) _____			
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Scott P. McBride	Registration No. (Attorney or Agent)	42,853
Signature	<i>Scott P. McBride</i>	Telephone	312/775-8131
		Date	June 24, 2004

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GROUP 3600



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Christopher R. Eccles

Application No.: 09/830,040

Filed: 8/13/2001

For: ENERGY GENERATION

Examiner: Ricardo J Palabrica

Group Art Unit: 3641

Attorney Docket No.: 13121US01

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(b)(4)

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GROUP 3600

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Examiner Palabrica:

Pursuant to 37 C.F.R. § 1.56, it is respectfully requested that the following references be considered in the continued examination of the above-identified patent application:

- PCT - WO 92/10838, *Energy/Matter Conversion Methods and Structures*, publication date June 25, 1992
- PCT - WO 96/42085, *Lower-Energy Hydrogen Methods and Structures*, publication date December 27, 1996
- Court opinion from *Blacklight Power, Inc. v. Dickinson*, 55 U.S.P.Q.2d 1812 (D.D.C. Aug. 15, 2000)

The above-identified references are listed on the attached PTO Form 1449 and copies are also enclosed herewith.

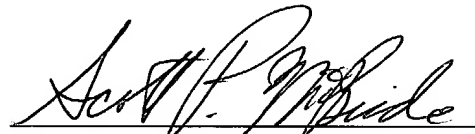
Application No. 09/830,040
Attorney Docket No. 13121US01

This submission is in no way intended as an admission that the above-cited references constitute prior art under any subsection of 35 U.S.C. § 102. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art.

Please charge any fees incurred in connection with this submission to Deposit Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd.

Respectfully submitted,

Date: June 24, 2004



Scott P. McBride
Registration No. 42,853

MCANDREWS, HELD & MALLOY, LTD.
500 West Madison Street, 34th Floor
Chicago, IL 60661

Telephone: (312) 775-8000
Facsimile: (312) 775-8100



FORM PTO-1449	SERIAL NO. 09/830,040	CASE NO. 13121US01
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE 8/13/2001	GROUP ART UNIT 3641
	(use several sheets if necessary)	
APPLICANT(S): Eccles		

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1					
	A2					
	A3					
	A4					
	A5					
	A6					
	A7					
	A8					
	A9					

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	A10	WO 92/10838	6/25/1992	US		
	A11	WO 96/42085	12/27/1996	US		
	A12					
	A13					

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A14	Court opinion from <i>Blacklight Power, Inc. v. Dickinson</i> , 55 U.S.P.Q.2d 1812 (D.D.C. Aug. 15, 2000)
	A15	
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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.